



System Training and Response (STaR) Team – SAIS Information Series

New SAIS Requirements for SPED – Fiscal Year 2009

This document is intended to describe changes to SAIS reporting requirements for Fiscal Year 2009 regarding Special Education programs.

Introduction

There are two significant changes to SAIS reporting requirements for Fiscal Year 2009 that apply to Special Education data. 1) The result of the current SAIS Integrity processing validation requiring a student's Special Education Service Participation Exit Reason to align with the Withdrawal or Year End Status associated with the student's school membership is now an Integrity failure as opposed to a warning. 2) When a student is exited from Special Education Service Participation with Exit Reason Code {11} (Expelled but still receiving services) the student's records will fail Integrity processing unless a record of a subsequent Special Education Service Participation with SPED Service Code {H} (Homebased/Homebound/Hospital Program) exists in SAIS.

Alignment of SPED Exit Reason Codes to Withdrawal or Year End Status

SAIS requires that appropriate Exit Reason Codes and Service Exit Dates be submitted for all Special Education students. Further, if a student has membership in a school, the student's SPED Exit Reason Code must align with the student's Year End Status or Withdrawal Code, submitted as part of the student's school membership information. Some students receiving SPED services do not have membership in a school. For example: Students may attend a private school or be home schooled while receiving SPED services provided by a public school. Such students (known as "Needs Only Students") should be exited from SPED service each year with the appropriate Exit Date and Exit Reason Code, but no Withdrawal or Year End Status is submitted because no school membership exists.

In FY 2008, SAIS issued Integrity warnings when it found a student to have a SPED Exit Reason that did not properly align with the primary reason for the student's withdrawal from school membership or the student's year end status if the student remained as a member of the school through the last day of the school year. Beginning in FY 2009, the validation that formerly resulted in an Integrity warning will result in an Integrity failure. Under these circumstances, failed records result in exclusion from the Federal Special Education Census, but are not excluded from state funding formulas. Integrity failures associated with SPED Exit Reason Codes are not expected to be generated by SAIS until April 1, 2009.

For students who remain in school membership through the last day of school, the SPED Exit Reason Code must align with the Year End Status Code according to the chart found below. “Yes” indicates that the codes align. “No” indicates that the codes do not align.

		Year End Status Codes							
		{G} Graduated	{C} Completed	{A} Attended	{SA} ¹ Still Enrolled	{SC} ² Still Enrolled	{SE} ³ Still Enrolled	{P} Promoted	{R} Retained
SPED Exit Codes	{1} Transfer to regular education	yes	yes	yes	yes	yes	yes	yes	yes
	{2} Graduated	yes	no	no	no	no	no	no	no
	{3} Reached maximum age	no	yes	yes	no	no	no	no	no
	{4} Died	no	no	no	no	no	no	no	no
	{5} Moved, known to be continuing	no	no	no	no	no	no	no	no
	{7} Dropout	no	no	no	no	no	no	no	no
	{8} Transition to kindergarten	no	no	no	no	no	no	yes	no
	{9} Ends one SPED service but starts another	no	no	no	no	no	no	no	no
	{10} Withdrawn by parent request and no longer enrolled	no	no	no	no	no	no	no	no
	{11} Expelled but still receiving services	no	no	no	no	no	no	no	no
	{12} Exit from one need but continuing in another	no	no	no	no	no	no	no	no
	{13} End of school year	no	yes	yes	yes	yes	yes	yes	yes

¹ {SA} Still Enrolled (AIMS) – Student fulfilled course of study requirements or IEP but did not meet AIMS requirements. (Student is expected to continue.)

² {SC} Still Enrolled (Course of Study Requirements) – Student did not meet course of study requirements or IEP but did meet AIMS requirements. (Student is expected to continue.)

³ {SE} Still Enrolled (Met No Requirements) – Student did not meet course of study requirements or IEP and did meet AIMS requirements. (Student is expected to continue.)

For students who withdraw from school membership prior to the last day of school, the SPED Exit Reason Code must align with the Withdrawal Code according to the chart found below. “Yes” indicates that the codes align. “No” indicates that the codes do not align.

		Withdrawal Codes												
		{W1} Transfer	{W2} Illness	{W3} Expelled or long-term suspension	{W4} Absence status unknown	{W5} Dropout	{W6} Age	{W7} Graduated	{W8} Deceased	{W9} Transfer to be home taught	{W10} Transfer to detention	{W11} GED	{W12} Vocational school	{W13} ¹ Completed (AIMS)
SPED Exit Codes	{1} Transfer to regular education	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	yes
	{2} Graduated	no	no	no	no	no	no	yes	no	no	no	no	no	no
	{3} Reached maximum age	no	no	no	no	no	yes	no	no	no	no	no	no	no
	{4} Died	no	no	no	no	no	no	no	yes	no	no	no	no	no
	{5} Moved, known to be continuing	yes	no	no	no	no	no	no	no	yes	yes	no	no	no
	{7} Dropout	no	yes	yes	yes	yes	no	no	no	no	no	yes	yes	yes
	{8} Transition to kindergarten	yes	no	no	no	no	no	no	no	no	no	no	no	no
	{9} Ends one SPED service but starts another	no	yes	yes	no	no	no	no	no	yes	no	no	no	no
	{10} Withdrawn by parent request and no longer enrolled	no	yes	no	no	no	yes	no	no	yes	no	no	no	no
	{11} Expelled but still receiving services	no	no	yes	no	no	no	no	no	no	no	no	no	no
	{12} Exit from one need but continuing in another	no	no	no	no	no	no	no	no	no	no	no	no	no
	{13} End of school year	no	no	no	no	no	no	no	no	no	no	no	no	no

¹ {W13} Completed (AIMS) – Student fulfilled course of study requirements or IEP but did not meet AIMS requirements. (Student withdrew prior to the last day of school and is not expected to continue.)

SPED Exit Reason Code {11} (Expelled but still receiving services)

SPED Exit Reason Code {11} (Expelled but still receiving services) is used only to indicate that a student was expelled from school, but is still receiving SPED services provided by the same school. Under these circumstances, a student might receive their SPED services at home or in a public place, such as a library. Such students should be entered into a new SPED participation with service code {H} at the same school from which they were expelled. Exit Reason Code {11} is not valid for students who are expelled from one school and go on to attend another school; Exit Reason Code {5} indicating that the student moved and is known to be continuing in another educational program would be used for students in this situation.

Beginning in FY 2009, if SAIS finds no record of SPED Service Participation with SPED Service Code {H} (Homebased/Homebound/Hospital Program) with a Service Entry Date later than the Service Exit Date submitted with SPED Exit Reason Code {11}, the student's records will fail in Integrity processing and the records will be excluded from both the Federal Special Education Census and from state funding formulas.

Example 1

- Student A is expelled from ABC High School on March 6, 2009.
- Student A is a Special Education student who is hearing impaired {HI} and was receiving Special Education Services outside of the regular class for less than 21% of the day (SPED Service Type A).
- The IEP team meets regarding these circumstances and it is determined that personnel from ABC High School will provide educational services for Student A at his home beginning on March 12, 2009.

ABC High School must submit a SPED Exit Date of March 6, 2009 with Exit Reason Code {11} and a new SPED Service Participation transaction with an Entry Date of March 12, 2009 with SPED Service Type {H}.

Example 2

- Student B is expelled from ABC High School on December 10, 2008.
- Student B is a Special Education student who has a Specific Learning Disability {SLD} and was receiving Special Education Services outside of the regular class for less than 21% of the day (SPED Service Type A).
- Student B withdraws from ABC High School and enrolls in (or the responsible party indicates the intention to enroll in) QRS Charter School on December 15, 2008.

ABC High School would submit a SPED Exit Date of December 10, 2008 with Exit Reason Code {5} (Moved, known to be continuing).

If/when the student enrolls, QRS Charter School becomes responsible for the student's education and for SAIS reporting requirements.